

12-06-01
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No.

2739.2001-001

First Named Inventor or
Application Identifier

Krzysztof B. Wicher

Express Mail Label No.

EV005366900US

Title of
Invention

THERMOSTABLE CELLULASE

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **[Total Pages 40]**
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to microfiche Appendix
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **[Total Sheets 2]**
☒ Formal ☐ Informal
☐ Fig. of the Drawings for Publication ☐
4. ☒ Oath or Declaration/POA **[Total Pages 3]**
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☒ Computer Readable Copy
 - b. ☒ Paper Copy (identical to computer copy)
[9] Pages
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents)
☒ Assignee - Prokaria Ltd.
 Reykjavik, ICELAND
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☒ Other: Change of Correspondence Address

18. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

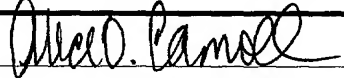
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: PCT/IS01/00012

Prior application information: Examiner:

Group Art Unit:

19. CORRESPONDENCE ADDRESS

NAME	Alice O. Carroll, Esq.				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P. O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	978-341-0036	FAX	978-341-0136

Signature		Date	October 23, 2001
Submitted by Typed or Printed Name	Alice O. Carroll	Reg. Number	33,542

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	2739.2001-001
	Application Number	
	First Named Inventor	Krzysztof B. Wicher

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	29 - 20* =	9	x \$ 18 =	\$ 162
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	6 - 3** =	3	x \$ 84 =	\$ 252
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
	Total of above Calculations =				\$ 1154
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 577
	TOTAL =				\$ 577
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$ 40
	TOTAL =				\$ 617

* Reissue claims in excess of 20 and over original patent
** Reissue independent claims over original patent

1. Small entity status:

- a. ☒ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$617.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature	<i>Alice O. Carroll</i>	Date	<i>October 23, 2001</i>
Submitted by Typed or Printed Name	Alice O. Carroll	Reg. Number	33,542

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Krzysztof B. Wicher, Olof Peder Holst, Maher Youssef Abou Hachem,
Eva Margareta Nordberg Karlsson and Gudmundur O. Hreggvidsson

Continuation-in-part of and claims priority to PCT Application No. PCT/IS01/00012, filed 15
June 2001, which is a Continuation-in-part of U.S. Application No. 09/594,884, filed June 15,
2000

Title: THERMOSTABLE CELLULASE

Date: <u>10/23/01</u>
EXPRESS MAIL LABEL NO. <u>EV 0053 669 00 US</u>

CHANGE OF CORRESPONDENCE ADDRESS

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please change the correspondence address for the above captioned patent application as
follows: All correspondence should be sent to Customer Number 021005, Hamilton, Brook,
Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-
9133. Please direct all telephone calls to Alice O. Carroll, Esq. at (978) 341-0036, and all
facsimile communications to Alice O. Carroll, Esq. at (978) 341-0136. Note that the executed
Declaration by inventors contains the old correspondence address.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Alice O. Carroll
Alice O. Carroll
Registration No.: 33,542
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133
Date: October 23, 2001

Date: <u>October 23, 2001</u> EXPRESS MAIL LABEL NO. <u>9V005366900US</u>

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Applicants: Krzysztof B. Wicher, Olof Peder Holst, Maher Youssef Abou Hachem,
Eva Margareta Nordberg Karlsson and Gudmundur O. Hreggvidsson

Continuation-in-part of and claims priority to PCT Application No. PCT/IS01/00012,
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filed June 15, 2000

Title: THERMOSTABLE CELLULASE

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM
IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable
form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f),
Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper
form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Alice O. Carroll
Alice O. Carroll

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Concord, MA 01742-9133
Dated: October 23, 2001